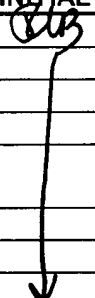
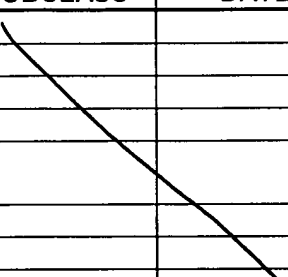


P#4

FORM PTO-1449  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)	SERIAL NO. <i>to be Assigned</i>	CASE NO. <i>P-9056 00</i> 2620/10
	FILING DATE <i>Herewith</i>	GROUP ART UNIT <i>to be Assigned</i> 3762
	APPLICANT(S): KEVIN MCINTOSH BRUCE JONES	

Jc511 U.S. PTO  
 09/559452  
 04/27/00

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,766,480 ✓	06/16/98	COSENTINO ET AL.		
	A2	5,762,869 ✓	06/09/98	WHITE ET AL.		
	A3	5,667,485 ✓	09/16/97	LINDSAY		
	A4	5,312,479 ✓	05/17/94	WEINSTEIN ET AL.		
	A5	B1 4,469,659 Reexamination Certificate	07/29/97	CARSON ET AL.		
	A6	4,469,659 ✓	09/04/84	CARSON ET AL.		
	A7	4,424,190 ✓	01/03/84	MATHER, III ET AL.		
	A8	4,111,659 ✓	09/05/78	BOWLEY		
	A9	Re. 36,125 ✓	03/02/99	HAWORTH ET AL.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
<i>EB</i>	A10 ✓	A brochure entitled "Medtronic AFFINITY® NT Oxygenation Systems," Clearly the next level of technology, (© Medtronic, Inc. 1999, 6 pp.)
<i>EB</i>	A11 ✓	A brochure "Medtronic AFFINITY® NT 511" Instructions For Use brochure, (©Medtronic, Inc. 1999, 53 pp. (Note: page 6 is blank))

EXAMINER <i>Boianca</i>	DATE CONSIDERED <i>1/23/04</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## FOREIGN PATENT DOCUMENTS

213
213
213

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

Date Considered \_\_\_\_\_

1 | 23 | 04

**Based on Form PTO-FB-A820  
(Also form PTO-1449)**

Patent and Trademark Office, U.S. Department of Commerce

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**Serial No.: 09/559,452**

**Filing Date: 04-27-2000**

**Group:** 3762

<b>PATENT Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>SubClass</b>	<b>Filing Date If Appropriate</b>
SUB	Re. 36,125	03-02-1999	Haworth et al	422	46	
	4,469,659	09-04-1984	Carson et al	422	46	
	4,111,659	09-05-1978	Bowley	422	48	
	4,424,190	01-03-1984	Mather III et al	422	46	
	5,762,869	06-09-1998	White et al	422	48	
	5,667,485	09-16-1997	Lindsay	604	4	
	5,766,480	06-16-1998	Cosentino et al	210	664	
SUB	Re. 33,932	05-19-1992	Fukasawa et al	422	46	

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[illegible]

Q13			Medtronic brochure entitled "The Affinity® Hollow Fiber Oxygenator" UC9804380EN 1999
Q14			Medtronic brochure entitled "Minimax Plus™ Oxygenation Systems" UC9605420EN 1998

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1/23/04

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